

I hereby give notice that an ordinary meeting of the Audit, Risk and Investment Committee will be held on:

Date: Tuesday, 24 September 2019
Time: 1.00pm
Venue: Tararua Room
Horizons Regional Council
11-15 Victoria Avenue, Palmerston North

AUDIT, RISK AND INVESTMENT COMMITTEE

AGENDA

MEMBERSHIP

Chair	Cr BE Rollinson
Deputy Chair	Cr PW Rieger QSO JP
Councillors	Cr LR Burnell QSM
	Cr EB Gordon JP (ex officio)
	Cr RJ Keedwell
	Cr GM McKellar
	Cr JM Naylor
	Cr NJ Patrick

Michael McCartney
Chief Executive

Contact Telephone: 0508 800 800
Email: help@horizons.govt.nz
Postal Address: Private Bag 11025,
Palmerston North 4442

Full Agendas are available on Horizons Regional Council website
www.horizons.govt.nz

for further information regarding this agenda, please contact:
Julie Kennedy, 06 9522 800

CONTACTS	24 hr Freephone : 0508 800 800	help@horizons.govt.nz	www.horizons.govt.nz	
SERVICE CENTRES	Kairanga Cnr Rongotea & Kairanga-Bunnythorpe Rds, Palmerston North	Marton 19-21 Hammond Street	Taumarunui 34 Maata Street	Woodville Cnr Vogel (SH2) & Tay Sts
REGIONAL HOUSES	Palmerston North 11-15 Victoria Avenue	Whanganui 181 Guyton Street		
DEPOTS	Levin 120-122 Hokio Beach Rd	Taihape 243 Wairanu Rd		
POSTAL ADDRESS	Horizons Regional Council, Private Bag 11025, Manawatu Mail Centre, Palmerston North 4442			
FAX	06 9522 929			

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PX1	Confirmation of Public Excluded Meeting held on 27 August 2019	
PX2	Annual Report For The Year Ended 30 June 2019 <i>Report No: PX19-143</i>	
PX3	Committee to consider whether any item in the Public Excluded minutes can be moved into the public domain, and define the extent of the release.	
PX4	Members' Questions	

AGENDA

1 Welcome/Karakia

2 Apologies and Leave of Absence

At the close of the Agenda no apologies had been received.

3 **Public Forums:** Are designed to enable members of the public to bring matters, not on that meeting's agenda, to the attention of the local authority.

Deputations: Are designed to enable a person, group or organisation to speak to an item on the agenda of a particular meeting.

Requests for Public Forums / Deputations must be made to the meeting secretary by 12 noon on the working day before the meeting. The person applying for a Public Forum or a Deputation must provide a clear explanation for the request which is subsequently approved by the Chairperson.

Petitions: Can be presented to the local authority or any of its committees, so long as the subject matter falls within the terms of reference of the council or committee meeting being presented to.

Written notice to the Chief Executive is required at least 5 working days before the date of the meeting. Petitions must contain at least 20 signatures and consist of fewer than 150 words (not including signatories).

Further information is available by phoning 0508 800 800.

4 Supplementary Items

To consider, and if thought fit, to pass a resolution to permit the Committee/Council to consider any further items relating to items following below which do not appear on the Order Paper of this meeting and/or the meeting to be held with the public excluded.

Such resolution is required to be made pursuant to Section 46A(7) of the Local Government Official Information and Meetings Act 1987 (as amended), and the Chairperson must advise:

- (i) The reason why the item was not on the Order Paper, and
- (ii) The reason why the discussion of this item cannot be delayed until a subsequent meeting.

5 Members' Conflict of Interest

Members are reminded of their obligation to declare any conflicts of interest they might have in respect of the items on this Agenda.

Minutes of the eleventh meeting of the tenth triennium of the Audit, Risk and Investment Committee held at 1.15pm on Tuesday 27 August 2019, in the Tararua Room, Horizons Regional Council, 11-15 Victoria Avenue, Palmerston North.

PRESENT Crs BE Rollinson (Chair), LR Burnell QSM, EB Gordon JP (ex officio), RJ Keedwell, GM McKellar, JM Naylor, NJ Patrick, and PW Rieger QSO JP.

IN ATTENDANCE Councillors Te Awe Awe
Chief Executive Mr MJ McCartney
Committee Secretary Mrs JA Kennedy

ALSO PRESENT At various times during the meeting:

Dr N Peet (Group Manager Strategy & Regulation), Mr R Strong (Group Manager River Management), Mr G Shirley (Group Manager Regional Services & Information), Dr J Roygard (Group Manager Natural Resources & Partnerships), Mrs K Tongs (Governance Coordinator), Mr C Morris (People & Capability Manager), Mr A Smith (Acting Chief Financial Officer), Ms C Morrison (Media & Communications Manager), Mr Mancer, Ms E Bethel, Ms K Hodges (Management Accountants).

The Chair welcomed everyone to the meeting.

APOLOGIES

ARI 19-35 *Moved* **Rollinson/Rieger**

That the Committee receives an apology from Cr Cotton.

CARRIED

PUBLIC FORUMS / DEPUTATIONS / PETITIONS

There were no requests for public speaking rights.

SUPPLEMENTARY ITEMS

There were no supplementary items to be considered.

MEMBERS' CONFLICTS OF INTEREST

There were no conflicts of interest declared.

CONFIRMATION OF MINUTES

ARI 19-36 *Moved* **Rollinson/Rieger**

That the Committee:

confirms the minutes of the Audit, Risk and Investment Committee meeting held on 26 March 2019 as a correct record, and notes that the recommendations were adopted by the Council on 30 April 2019.

CARRIED

HEALTH AND SAFETY REPORT TO 12 AUGUST 2019

Report No 19-125

This item provided Members with a summary of health and safety related activities and performance for the financial year up to 12 August 2019. Mr Morris (People & Capability Manager) spoke to the report. He highlighted progress with the Traffic Light activity, noted that WorkSafe NZ had released a policy clarification “strongly recommending” the installation of Quad Bike Crush Protections Devices (CPDs), and commented on discussions held at a recent Health & Safety Committee meeting around quad bike activities and the use of CPDs. Mr Morris responded to Members’ questions.

ARI 19-37 Moved Naylor/Burnell

That the Committee recommends that Council:

a. *receives the information contained in Report No. 19-125 and Annex.*

CARRIED

PROCEDURAL MOTION TO EXCLUDE THE PUBLIC

ARI 19-38 Moved Rollinson/Keedwell

THAT the public be excluded from the following part(s) of the proceedings of this meeting. The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution follows.

This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by section 6 and section 7 of that Act which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting in public, as follows:

CARRIED

General subject of each matter to be considered	Reason for passing this resolution	Ground(s) under section 48(1) for the passing of this resolution
PX1 Confirmation of Public Excluded Meeting held on 26 March 2019	s7(2)(h) - the withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities.	s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.
PX2 Draft Annual Report for 2018-19 Financial Year	s7(2)(h) - the withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities. The draft Annual Report is subject to Council approval and Audit review before being released to the public.	s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.

PX3 Risk Register Update	s7(2)(h) - the withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities. The report refers to areas of risk which may be of a commercially sensitive nature.	s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.
PX4 Council / Committee to consider whether any item in the Public Excluded minutes can be moved into the public domain and define the extent of the release		
PX5 Members' Questions		

The meeting adjourned to the Public Excluded part of the meeting at 1.24pm and resumed at 2.08pm.

The meeting closed at 2.09pm.

Confirmed

CHIEF EXECUTIVE

CHAIR

Public Excluded Section

RECOMMENDATION

That the public be excluded from the remainder of the Council meeting as the general subject matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48 (1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution follows.

This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by section 6 or section 7 of that Act which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting in public, as follows:

General subject of each matter to be considered	Reason for passing this resolution	Ground(s) under section 48(1) for the passing of this resolution
PX1 Confirmation of Public Excluded Meeting held on 27 August 2019	s7(2)(h) - the withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities.	s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.
PX2 Annual Report For The Year Ended 30 June 2019	s7(2)(h) - the withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities. The draft Annual Report is subject to Council approval and Audit review before being released to the public.	s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.
PX3 Council / Committee to consider whether any item in the Public Excluded minutes can be moved into the public domain and define the extent of the release		
PX4 Members' Questions		